



## ASX Announcement

17 April 2023

### Appendix 3Y

Hearts and Minds Investments Limited (HM1) attaches an updated Appendix 3Y for Director Gary Weiss.

HM1 has recently conducted a review of notifications provided to ASX with respect to movements in Directors' security holdings. It has come to light that an Appendix 3Y was not issued to ASX in relation to an issue of securities to Mr Weiss under the Dividend Reinvestment Plan in April 2021, due to an administrative oversight by HM1.

The attached Appendix 3Y includes details of the issue in 2021 and a further issue on 13 April 2023.

The Company is aware of its disclosure obligations under ASX Listing Rules 3.19A, 3.19B and 3.10 and has now reviewed its reporting and notification practices. The Company believes that the arrangements now in place are adequate to ensure compliance with its disclosure obligations under the ASX Listing Rules.

The directors are requested to declare any interests and note any changes to their interests at each Board meeting. Further, the Company's Securities Trading Policy sets out the appropriate notifications pertaining to relevant interests that directors are obliged to provide to the Company. All directors have been made aware of the contents and application of the Securities Trading Policy.

**-ENDS-**

This announcement was authorised for release by the Chief Executive Officer of the Company.

#### **Investor contact**

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# Appendix 3Y

## Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	Hearts and Minds Investments Limited
<b>ABN</b>	628 753 220

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Gary Weiss
<b>Date of last notice</b>	27 December 2019

### Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct and Indirect
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	Bivaru Superfund Pty Ltd ATF Gary Weiss Superfund ( <i>Gary Weiss is a Director of the Trustee and a beneficiary of the super fund</i> )  Portfolio Services Pty Ltd. ( <i>Gary Weiss has power of control over Bivaru Pty Ltd, which holds more than 20% of the voting power of Ariadne Australia Limited. Portfolio Services Pty Ltd is a wholly owned subsidiary of Ariadne</i> )
<b>Date of change</b>	1) 20 April 2021 2) 13 April 2023
<b>No. of securities held prior to change</b>	495,002 fully paid ordinary shares
<b>Class</b>	Fully paid ordinary shares
<b>Number acquired</b>	1) 1,230 ordinary shares 2) 2,646 ordinary shares
<b>Number disposed</b>	Nil
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	1) \$4.3908 per share 2) \$2.3579 per share

<b>No. of securities held after change</b>	498,878 fully paid ordinary shares
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Participation in Dividend Reinvestment Plan in 2021 and in 2023.

## Part 2 – Change of director’s interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	N/A
<b>Interest after change</b>	N/A

## Part 3 – +Closed period

<b>Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A